



**TOWNSHIP OF HARDING
GRADING PERMIT APPLICATION
INSTRUCTIONS**

21 Blue Mill Road, P.O. Box 666
New Vernon, New Jersey 07976
(973) 267-8000

**NO APPLICATION WILL BE PROCESSED UNLESS IT IS SUBMITTED WITH THE
FOLLOWING MATERIALS:**

1. A fully completed and signed application form. Any form that is missing information or signatures will be returned. Five (5) copies of the application form must be submitted.
2. If the property owner and/or applicant is an entity other than an individual, an Ownership Disclosure Affidavit must be submitted.
3. Five (5) sets of drawings must bear the raised seal of the professional that prepared the plans and must contain all information as required on the attached Lot Grading Plan Checklist. Per the Harding Township Code, all plans must be prepared by a licensed engineer.
4. Separate checks are required for the total application fee and the total initial escrow deposit. Both checks must be issued by the applicant and be made payable to Harding Township. The application fee and the escrow deposit are shown on page 2 of the application.
5. One (1) completed IRS W-9 form must be submitted for the applicant in order to report interest earnings on the escrow account. If the applicant is an individual, the Township's bank requires a date of birth be provided. This should be noted at the bottom of page 2.
6. An application for Soil Erosion and Sediment Control Plan Certification must be submitted if the proposed limit of disturbance exceeds 5,000 square feet. This application must be sign by the applicant. Five (5) copies of the application must be submitted.
7. If a Tree Removal Permit is required for the project, a copy of the proposed Tree Removal Permit activities must be shown on the Grading Permit Application.
8. If this project was the subject of a Planning Board or Board of Adjustment application, four (4) copies of the Resolution of Approval must be submitted.
9. If your plan indicates the presence of wetlands, transition areas, and/or riparian buffers, four (4) copies of all NJDEP documentation and approvals must be submitted.

NOTE: When submitting a revised Grading Plan, all such submittals must be accompanied by a letter from you engineer describing each plan revision that has been completed. Five (5) copies of the revised plan must be submitted to the Township Engineer, two (2) copies to the Zoning Officer and one (1) copy each to the Construction Department and Health Department.

IF YOU HAVE ANY QUESTIONS REGARDING GRADING PLAN REQUIREMENTS OR THE GRADING PLAN CHECKLIST, PLEASE CONTACT THE TOWNSHIP ENGINEER, PAUL FOX, AT 908.234.0416.

APPLICATIONS THAT ARE MISSING REQUIRED INFORMATION OR SIGNATURES WILL BE RETURNED UNPROCESSED. IF YOU HAVE QUESTIONS REGARDING THESE INSTRUCTIONS, CONTACT THE TOWNSHIP CONSTRUCTION DEPARTMENT AT 973.267.8000 EXT. 716.



TOWNSHIP OF HARDING GRADING PERMIT APPLICATION

21 Blue Mill Road, P.O. Box 666
New Vernon, New Jersey 07976

(973) 267-8000 Ext. 716

LOCATION OF PROJECT:

Street Address (w/house number): _____ Block: _____ Lot: _____

Brief Project Description: _____

Owner: _____	Owner's E-Mail: _____
Owner's Mailing Address: _____	
Owner's Daytime Phone: _____	Owner's Cell Phone: _____
I consent to the filing of this application and certify that the above information is correct. I agree to require any of my agents, contractors, and employees to comply with applicable state and local regulations related to this permit.	
Owner Signature: _____	Date: _____

Applicant: _____	Applicant's E-Mail: _____
<small>(Complete this section only if applicant is other than owner)</small>	
Is applicant a Contract Purchaser? Yes <input type="checkbox"/> No <input type="checkbox"/>	
Applicant's Mailing Address: _____	
Applicant's Daytime Phone: _____	Applicant's Cell Phone: _____
I am the agent for the owner and I certify the above information is correct and that I have permission and authorization to apply for this permit. I agree to require any of my agents, contractors, and employees to comply with all applicable state and local regulations related to this permit.	
Applicant Signature: _____	Date: _____

Engineer: _____	Engineer's E-Mail: _____
Engineer's Mailing Address: _____	
Engineer's Phone: _____	Engineer's Fax: _____
I am the licensed engineer for the owner and I certify the above information is correct. I have reviewed the Grading Plan checklist and hereby certify that all required information has been provided.	
Engineer's Signature: _____	Date: _____

<u>FOR TOWNSHIP USE ONLY</u>	Application No. _____	Received By: _____
Date Received: _____	Application Fee Rec'd: _____	Escrow Fee Rec'd: _____

HARDING TOWNSHIP

GRADING PERMIT APPLICATION FEES & INITIAL ESCROW DEPOSITS

(§171-19 of the Harding Township Code Effective March 1, 2021)

PROPOSED ACTIVITY	APPLICATION FEE	INITIAL ESCROW DEPOSIT
Construction of Building or Addition or Patio with Footprint, greater than 400 sq. ft., but no more than 1,000 sq. ft.	\$582	\$1,500
Construction of Building or Addition or Patio with Footprint greater than 1,000 sq. ft., but no more than 4,000 sq. ft.	\$582	\$2,200
Construction of Building or Addition or Patio with Footprint greater than 4,000 sq. ft.	\$582	\$3,000
Construction of Swimming Pool	\$352	\$800
Land Disturbance or Grading greater than 5,000 sq. ft. but no more than 10,000 sq. ft.	\$236	\$500
Land Disturbance or Grading greater than 10,000 sq. ft.	\$236	\$500 plus \$30 per 1,000 sq. ft. over 10,000 sq. ft.
Grading plan to comply with N.J.A.C. 7:8 or the Harding Township RSIS Special Area Standard for Stormwater	\$293	\$2,000
NOTE: Application fees and initial escrow deposits for <u>each individual project component</u> are added together to arrive at a total application fee and initial escrow deposit due for a proposed project. The only exception to this is for Land Disturbance where no additional fee or initial escrow deposit is required if the project includes a building, patio, or pool. The fee and initial escrow deposit for a project that <u>only</u> involves structure demolition will be based on the fees for Land Disturbance.		

GRADING PLAN INFORMATION:

Building or Addition Size: _____ Sq. Ft. (including any area to be demolished and constructed)
 Land Disturbance: _____ Sq. Ft. (including any area to be demolished and constructed)
 Patio: _____ Sq. Ft.

If you have any questions regarding the Grading Plan and checklist, please contact Paul Fox at 908-234-0416.

FEE AND ESCROW INFORMATION:

Application Fee: _____ Initial Escrow Deposit: _____

Two checks (one for the fee and one for the escrow) are required and must be made payable to Harding Township. All application fees and initial escrow deposits **must** be made by the Applicant. Copies of escrow bills and statements will be sent to the applicant's address provided on the application form.

If the Escrow Fee is being issued by an individual, a Date of Birth is required: _____ / _____ / _____.

IMPORTANT NOTE:

An IRS Form W-9 must be submitted by the Applicant in order for the Township to report interest earned on the escrow account.



TOWNSHIP OF HARDING
APPLICATION FOR SOIL EROSION AND
SEDIMENT CONTROL PLAN
CERTIFICATION

21 Blue Mill Road, P.O. Box 666
 New Vernon, New Jersey 07976
 (973) 267-8000

The enclosed soil erosion and sediment control plan and supporting information are submitted for certification pursuant to the Soil Erosion and Sediment Control Act, Chapter 251, P.L. 1975 as amended (NJSA 4:24-39 et. seq.) An application for certification of a soil erosion and sediment control plan shall include the items listed on the reverse side of this form.

Name of Project			Project Location: Municipality	
Project Street Address			Block	Lot
Project Owner(s) Name			Email	Phone # Fax #
Project Owner(s) Street Address (No P.O. Box Numbers)			City	State Zip
Total Project Area (Acres)	Total Disturbed Area (Acres)	Total Soil Restoration Area (Acres)	No. Dwelling or other Units	Fee \$
Plans Prepared by*			Email of plan preparer:	Phone # Fax #
Street Address			City	State Zip

*(Engineering related items of the Soil Erosion and Sediment Control Plan **MUST** be prepared by or under the direction of and be sealed by a Professional Engineer or Architect licensed in the State of New Jersey, in accordance with NJAC 13:27-6.1 et. seq.)

Agent Responsible During Construction			Email	
Street Address				
City	State	Zip	Phone	Fax #

The applicant hereby certifies that all soil erosion and sediment control measures are designed in accordance with current **Standards for Soil Erosion and Sediment Control In New Jersey** and will be installed in accordance with those Standards and the plan as approved by the Soil Conservation District and agrees as follows:

1. To notify the District in writing at least 48 hours in advance of any land disturbance activity. Failure to provide such notification may result in additional inspection fees.
2. To notify the District upon completion of the Project (Note: No certificate of occupancy can be granted until a report of compliance is issued by the District.
3. To maintain a copy of the certified plan on the project site during construction.
4. To allow District agents to go upon project lands for inspection.
5. That any conveyance of this project or portion thereof prior to its completion will transfer full responsibility for compliance with the certified plan to any subsequent owners.
6. To comply with all terms and conditions of this application and certified plan including payment of all fees prescribed by the district fee schedule hereby incorporated by reference.

The applicant hereby acknowledges that structural measures contained in the Soil Erosion and Sediment Control Plan are reviewed for adequacy to reduce offsite soil erosion and sedimentation and not for adequacy of structural design. The applicant shall retain full responsibility for any damages which may result from any construction activity notwithstanding district certification of the subject soil erosion and sediment control plan. It is understood that approval of the plan submitted with this application shall be valid only for the duration of the initial project approval granted by the municipality. All municipal renewals of this project will require submission and approval by the district. In no case shall the approval extend beyond three- and one-half years at which time resubmission and certification will be required. Soil Erosion and Sediment Control Plan certification is limited to the controls specified in the plan. It is not authorization to engage in the proposed land use unless such use has been previously approved by the municipality or other controlling agency. It is further understood that all documents, site plans, design reports etc. submitted to the district shall be made available to the public (upon request) pursuant to the Open Public Records Act, N.J.S.A. 47:1A-1 et seq.

1. Applicant Certification* Signature _____ Date _____ Applicant Name (Print) _____	3. Plan determined complete: Signature of District Official _____ Date _____
2. Receipt of fee, plan and supporting documents is hereby acknowledged: Signature of District Official _____ Date _____	4. Plan certified, denied or other actions noted above. Special Remarks: Signature of District Official _____ Date _____

*If other than project owner, written authorization of owner must be attached.

SSCC251 AP10 4/19

APPENDIX A2

REQUIREMENTS, GUIDELINES AND PROCEDURES FOR
PREPARING AND IMPLEMENTING "STANDARDS FOR SOIL EROSION AND
SEDIMENT CONTROL IN NEW JERSEY"

An application for certification of a soil erosion and sediment control plan shall include the following items.

1. One copy of the complete subdivision, site plan or construction permit application, including key map as submitted to the municipality (Architectural drawings and building plans and specifications not required.) which includes the following:
 1. Location of present and proposed drains and culverts with their discharge capacities and velocities and support computations and identification of conditions below outlets.
 2. Delineation of any area subject to flooding from the 100-year storm in compliance with the Flood Plains Act (NJSA 58:16A) or applicable municipal zoning.
 3. Delineating of streams, wetlands, pursuant to NJSA 13:9B and other significant natural features within the project area.
 4. Soils and other natural resource information used. (Delineation of the project site on soil map is desirable utilizing the USDA Web Soil Survey.)
 5. Land cover and use of area adjacent to the land disturbance.
 6. All hydraulic and hydrologic data, describing existing and proposed watershed conditions and HEC HMS, HEC RAS, TR-55 and similar models, and other electronic input files, if used, of existing and proposed conditions and a completed copy of the Hydraulic and Hydrologic Data Base Summary Form, SSCC 251 HDF1.

2. Up to four copies of the soil erosion and sediment control plan* at the same scale as the site plan submitted to the municipality or other land use approval agency to include the following: (This information shall be detailed on the plat)
 1. Proposed sequence of development including duration of each phase in the sequence.
 2. Site grading plan showing delineation of land areas to be disturbed including proposed cut and fill areas together with existing and proposed profiles of these areas (an interim grading-erosion control plan may be required for large sites with extensive cuts and fills).
 3. Contours at a two-foot (or smaller) interval, showing present and proposed ground elevation.
 4. Locations of all streams and existing and proposed drains and culverts.
 5. Stability analysis of areas below all points of stormwater discharge which demonstrates a stable condition will exist or there will be no degradation of the existing condition.
 6. Location and detail of all proposed erosion and sediment control structures including profiles, cross sections, appropriate notes, and supporting computations.
 7. Location and detail of all proposed nonstructural methods of soil stabilization including types and rates of lime, fertilizer, seed, and mulch to be applied.
 8. Control measures for non-growing season stabilization of exposed areas where the establishment of vegetation is planned as the final control measure.
 9. For residential development - control measures to apply to dwelling construction on individual lots and notation that such control measures shall apply to subsequent owners if title is conveyed. This notation shall be shown on the final plat.
 10. Plans with a notation for maintenance of permanent soil erosion and sediment control measures and facilities during and after construction, also indicating who shall have responsibility for such maintenance.
 11. Where applicable, the location and details for all proposed soil restoration areas including appropriate notes and sequencing.

3. Appropriate fees. (As adopted by the individual district.)

4. Additional items as may be required.

*Individual districts may require modifications in the above list.

**OWNERSHIP DISCLOSURE AFFIDAVIT
APPLICATION BY CORPORATION OR PARTNERSHIP**

All applicants for Grading Permits and Soil Erosion and Sediment Control Plan Certification are requested to submit a list of project owners on this form for purposes of determining potential conflicts of interest between the applicant and Harding Township Officials. Attach a rider if necessary.

A Corporation must also indicate its Registered Agents and Officers.

A Corporation or Partnership applying to Harding Township for a Grading Permit, plan certification, or applying for other approvals shall list the names and addresses of all stockholders or individual partners owning at least 10% of its stock of any class, or at least 10% of the interest in the partnership, as the case may be.

This disclosure requirement is continuing during the Certification or permit period. Any transfer of ownership of more than 10% must be disclosed to Harding Township.

Name and Address of Applicant:

Name and Address of Registered Agent and Officers (If Corporation):

Stockholders/Partners with more than 10% ownership in the projects:

1.

2.

3.

4.

Sworn to and subscribed before me this
_____ Day of _____ 20__

NOTARY PUBLIC OF NEW JERSEY

Print Name of Authorized Signatory

Authorized Signature/Date

Title

204 Attachment 1

Township of Harding

**Checklist A
Grading Plan**

This checklist is required for all Grading Plans submitted to the Township Engineer for approval of a Grading Permit pursuant to Chapter 204 of the Township Code.

SUBMISSION REQUIREMENTS	Submitted (check off)	N/A	Waiver Requested
A. General			
1. Date of Subdivision Approval, if applicable is indicated on plan.			
2. Revision dates are indicated on plan.			
3. Grading plan is signed and sealed by a professional engineer licensed in the State of New Jersey.			
4. Survey reference is shown on plan and a copy of the survey is submitted with the plan.			
5. Grading plan is drawn at a scale of not less than 1 inch equals 30 feet.			
6. The grading plan includes the following:			
a. North Arrow			
b. Graphic Scale			
c. Key Map			
d. Soil Types per the Morris County Soil Survey			
e. Property Lines			
f. Setback Lines			
g. Tree Conservation Areas (Section 225-111)			
h. Existing and proposed structures, including setback measurements			
i. Existing and propose 2-foot contours in the area of proposed disturbance.			
j. Slope Analysis demonstrating compliance with Section 225-95.			
k. Streams and other natural features.			
l. Existing and proposed impervious coverage over the entire property (percentage and square footage). Note that gravel driveways are considered impervious coverage per Township Code. A table should be provided showing existing and proposed coverage by square footage for each of the following features: buildings, driveways, walkways, patios, recreation courts, and similar features.			
m. Soil Erosion and Sediment Control features, including construction details, if project is subject to Section 225-89.			
n. Standard Soil Erosion and Sediment Control Notes as promulgated by the Morris County Soil Conservation District. Such notes should be revised to refer to Harding Township and the Harding Township Engineer rather than the Morris County Soil Conservation District and District Manager.			
o. A Construction Sequence must be provided.			
p. A driveway extending the entire distance to the structure under construction per Section 346-14.			

7. A Zoning Data table must be provided. This table must identify the subject zone and must provide required, existing, and proposed information in a tabular format for each of the following (as applicable):			
a. Lot Size			
b. Frontage			
c. Front Setback			
d. Rear Setback			
e. Side Setback			
f. Building Height (primary structure and accessory structure)			
g. Building Coverage (in percentage and square feet for each structure)			
h. Lot Coverage (in percentage and square feet)			
B. Grading			
1. Proposed grades steeper than 3(h):1(v) must be minimized.			
2. Swales with grades of less than 1.5% must be minimized.			
3. Driveways do not intercept storm water flows from intersecting roads.			
4. Code specifications regarding driveway grades have been satisfied.			
5. Code specifications for steep slopes have been satisfied (Section 225-95).			
6. The limit of disturbance must be clearly shown and the area (in square feet) noted.			
C. Required General Notes			
1. The following notes appear on the plan, where applicable:			
i) An area at least 10 feet wide around the foundation will be graded downward away from the foundation.			
ii) The Township Engineer will be notified at least 72 hours in advance of the installation of the drywells or roof drain piping.			
iii) The contractor will add additional soil erosion and sediment control measures as directed by the Township Engineer.			
iv) Appropriate safety measures will be taken above retaining walls.			
v) The Township Code requires that solid metal gutter covers be installed on all new roof areas that discharge to drywells. Screens are not permissible.			
vi) The following site work inspections are required:			
a. Silt Fence (after installation)			
b. Drywell Excavation Subsoils (before sand, stone, or tank installation)			
c. Drywell System (after sand, stone, and tank installation – before backfill)			
d. Roof drain pipes and other drainage pipes (before backfill).			
e. Site Grading (before vegetative stabilization).			
f. Final (after vegetative stabilization).			
D. Building Height			
1. A detail showing the existing and proposed grade elevations 15' off of each structure under construction must be provided on the plan. Calculations demonstrating compliance with the Township Code must be provided as described in Section 225-5 (Height of Building).			
2. The building footprint, ridge elevation, first floor elevation and garage floor elevation are shown on the plan			

E. Stormwater Management			
1. The location of any existing streams, water courses, ponds, storm sewers or drainage facilities which relate to drainage of surface waters to and from the subject property are shown on the plan if they are within 300 feet of the proposed limit of disturbance.			
2. All proposed storm sewers, ditches, swales or other drainage facilities which will receive surface waters from the subject property are shown on the plan.			
3. The location, size and materials of construction of all roof leader drains, dry wells, utility lines below ground, wells, and individual sewage disposal systems are shown on the plan.			
4. Provisions for stormwater management, including drywell design and details, are shown on the plan as required by Section 225-187.			
5. Volumetric calculations demonstrating compliance with Section 225-187 must be provided on the plan.			
6. A permanent stone apron underlain with geofabric is provided for all proposed drainage discharges.			
F. Walls			
1. Top and bottom of wall elevations must be provided.			
2. Design calculations must be provided for all retaining walls over four feet in height. All calculations must be signed and sealed by a licensed professional engineer. A separate design report must be submitted.			
G. Wetlands and Waterways			
1. A letter of interpretation (LOI – file number shown on the plan) provided by the New Jersey Department of Environmental Protection (NJDEP) is required for all grading permit projects with on-site wetlands or wetlands transition areas.			
2. The grading plan must show all wetlands, wetland transition areas, waterways, and riparian buffers.			
3. If there are no waterways or riparian buffers within the project limit of disturbance, a note must be provided certifying that there are no waterways within 300 feet of the limit of disturbance.			
4. If there are no wetlands or wetland buffers within the project limit of disturbance, a note must be provided certifying that there are no wetlands or transition areas within 150 feet of the limit of disturbance.			
H. Miscellaneous			
1. For lots that lie partially or fully within an area of special flood hazard, a Special Flood Hazard Development Permit (Section 225-99) has been obtained and the lot grading plan reflects the requirements of the permit.			
2. The location and elevation of the datum used to set elevations on the site is shown on the plan.			
3. If revised plans are being submitted, such plans must be accompanied by a letter that specifically describes each revision that has been made. Copies of any revised grading plan and the required cover letter must also be provided to the Construction Official, Zoning Official, and Health Department. Applicants are encouraged not to complete plan revisions on any initial grading plan submittal until comments have been received from the Township Zoning Official and Health Department.			

Adopted 3/23/2011 (Ord. 03-11)



**TOWNSHIP OF HARDING
FINANCE DEPARTMENT
ESCROW RELEASE REQUEST**

21 Blue Mill Road, P.O. Box 666
New Vernon, New Jersey 07976

(973) 267-8000 Ext. 726

INSTRUCTIONS:

Escrow funds are only returned when a project is complete, all Township approvals have been obtained, and a permanent Certificate of Occupancy has been issued (if applicable). If your project meets these requirements, you may complete and submit the attached Escrow Release Request Form to the Finance Office.

Escrow return requests must be made by the same party that submitted the escrow funds and can only be returned to same.

When your completed form is received by the Finance Office, a request will be sent to all concerned departments to verify that the escrow funds can be released. Once approvals are given from all departments, the account holder will be sent a voucher to sign and return. When the signed voucher is received by the Finance Office, payment approval can be included on the Township's next bill list. Note that the Township Committee approves payments only once each month.

Any questions regarding the process can be directed to Ms. Simmons at ksimmons@hardingnj.org.

APPLICANT INFORMATION:

Name of Account Holder: _____

Account Holder's Full Mailing Address (Please include Street/PO Box, Town and Zip Code):

TYPE OF ESCROW (Please check all that apply):

- Technical Engineering Performance Grading Tree

Type of project: _____

Physical Address of Project: _____

Project Block: _____ Lot: _____

Daytime Phone Number: _____

Email Address: _____

Signature: _____ Date: _____

Please complete this form and return with copy of escrow bank statement (if available) to the Finance Office. Fax: 973-349-1963 or Email: ksimmons@hardingnj.org.

Request for Taxpayer Identification Number and Certification

Go to www.irs.gov/FormW9 for instructions and the latest information.

**Give form to the
requester. Do not
send to the IRS.**

Before you begin. For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

Print or type. See Specific Instructions on page 3.	1	Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.)		
	2	Business name/disregarded entity name, if different from above.		
	3a	Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes. <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C corporation <input type="checkbox"/> S corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) _____ Note: Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions) _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) _____ <i>(Applies to accounts maintained outside the United States.)</i>	
	3b	If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions <input type="checkbox"/>		
	5	Address (number, street, and apt. or suite no.). See instructions.	Requester's name and address (optional)	
	6	City, state, and ZIP code		
	7	List account number(s) here (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Social security number									
				-					
or									
Employer identification number									

Note: If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person	Date
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they